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IAP6 Rec'd PCT/PTO 26 JUN 2007

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June 26, 2007

Via Express Mail (EV 725833790 US)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Elie H. Gendloff, Ph.D., Esq. Direct 212 336 8108 E-mail egendlof@arelaw.com

"Express Mail" mailing label No. EV 725833790 US Date of Deposit: June 26, 2007

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Elie H. Gendloff

Signature:

U.S. Patent Application Serial No. 10/582,116

(U.S. National Phase Entry of PCT/US04/40852)

Title:

GLP-1 (9-36) METHODS AND COMPOSITIONS

Inventor:

Michael A. Brownlee

Our File: 96700/1143

Dear Sir:

Pursuant to our receipt of a "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)," enclosed please find the following documents for filing with the above-identified patent application in the name of Michael A. Brownlee, entitled GLP-1 (9-36) METHODS AND COMPOSITIONS, comprising the following:

- a "Reply to Notification of Missing Requirements Under 35 U.S.C. 371 1. in the United States Designated/Elected Office (DO/EO/US)" with a one-month extension of time requested therein (4 pages);
- a copy of a "Notification of Missing Requirements Under 35 U.S.C. 2. 371 in the United States Designated/Elected Office (DO/EO/US)" dated April 11, 2007, as required (3 pages);
- 3. a Fee Transmittal, Form PTO/SB/17 (1 page);

- 4. one complete Declaration, executed by the inventor in compliance with 37 C.F.R. § 1.497(a) and (b) (2 pages);
- 5. a Sequence Listing in both paper and computer-readable forms previously submitted in the related international phase application, PCT/US04/40852 (7 pages and one diskette);
- 6. an Information Disclosure Statement Form PTO/SB/08A (1 page) with 3 foreign patents listed therein and attached thereto, and Form PTO/SB/08B (2 pages) with 14 non-patent references listed therein and attached thereto;
- 7. an Amster Rothstein & Ebenstein LLP check in the amount of \$125 to cover the late declaration surcharge and the one-month extension of time fee, claiming small entity status; and
- 8. a return receipt postcard.

Small entity status has been previously established and is still proper.

Please acknowledge receipt of the enclosed papers by stamping the enclosed postcard and returning same to us.

Respectfully submitted,

Amster, Rothstein & Ebenstein LLP Attorneys for Applicants 90 Park Avenue New York, NY 10016 212 336 8000

hi Sell

Dated: New York, New York

June 26, 2007

Elie H. Gendloff

By:

Registration No. 44,704

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01 FC:2617 02 FC:2251 65.00 OP 60.00 OP

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

Application No. :

10/582,116

Confirmation No. 1654

Applicant

Michael A. Brownlee

Filed

December 7, 2004 (I.A.)

Docket No.

96700/1143

Customer No.

1912

"Express Mail" mailing label No. EV 725833790 US

Date of Deposit: June 26, 2007

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Elie H. Gendloff

Signature:

REPLY TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Reply is to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated April 11, 2007 in the above-identified application. Applicant requests a one month extension of time and provide payment for the fee for said extension herewith. With that one month extension, this Reply is due July 11, 2007 and is timely filed.

Oath or Declaration

Enclosed herewith is a Declaration of the Inventor in compliance with 37 C.F.R. 1.497(a) and (b). A copy of the Notification is also included herewith, as required.

Sequence Requirements

Enclosed herewith a sequence listing in both paper and computer-readable forms for the above-identified patent application. This sequence listing was previously

Page 1 of 4

373464.1

submitted in the international phase of this application, PCT/US2004/040852. The content of the sequence listing information recorded in computer readable form is identical to the written (on paper) sequence listing. The substitute sequence listing includes no new matter.

Information Disclosure Statement

Enclosed herewith are Forms PTO/SB/08A (1 page) and PTO/SB/08B 2 pages) and copies of the 17 non-US patent references listed on those forms.

Amendment

Please enter the following specification amendment into this patent application.

Appl. No. 10/582,116 Reply to Notification of Missing Requirements of April 11, 2007 Reply dated June 26, 2007

Specification Amendment

Please enter the enclosed sequence listing into this patent application.

Appl. No. 10/582,116 Reply to Notification of Missing Requirements of April 11, 2007 Reply dated June 26, 2007

Conclusion

Applicants enclose herewith an Amster, Rothstein & Ebenstein check for \$125.00 to cover the late Declaration fee for a small entity (\$65.00) plus the one month extension of time (\$60.00) requested above. It is believed that no other fee is due for this application. However, if there are additional fees required to maintain pendency of this application, authorization is hereby given to withdraw those fees from Deposit Account 01-1785.

Respectfully submitted

AMSTER, ROTHSTEIN & EBENSTEIN LLP Attorneys for Applicant 90 Park Avenue New York, NY 10016 (212) 336-8000

Dated: New York, New York

June 26, 2007

By: ______ Elie H. Gendloff

Registration No.: 44,704



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMP United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/582,116

Michael A. Brownlee

96700/1143

INTERNATIONAL APPLICATION NO.

PCT/US04/40852

I.A. FILING DATE

PRIORITY DATE

12/07/2004

12/12/2003

CONFIRMATION NO. 1654 371 FORMALITIES LETTER

OC000000023321395

1912 AMSTER, ROTHSTEIN & EBENSTE 90 PARK AVENUE NEW YORK, NY 10016

AMSTER ROTHSTEIN & EBENSTEIN, LLP

Date Mailed: 04/11/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/08/2006
- Copy of the International Search Report filed on 06/08/2006
- Preliminary Amendments filed on 06/08/2006
- U.S. Basic National Fees filed on 06/08/2006.
- Priority Documents filed on 06/08/2006

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/582,116 | PCT/US04/40852 | 96700/1143 |

FORM PCT/DO/EO/905 (371 Formalities Notice)

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

SHAKEEL AHMED